

## **THRESHOLDS IN 4280-B**

The thresholds in the final rule can be identified in 6 categories:

- |                           |                           |
|---------------------------|---------------------------|
| 1. Financial assistance   | 4. Scoring                |
| 2. Application process    | 5. Construction contracts |
| 3. Technical requirements | 6. Servicing              |

All the threshold values in 4280-B have been reflected in these tables.

Amount of financial assistance	Renewable Energy (RE)	Energy Efficiency (EE)
<b>Minimum grant</b> <i>(up to 25 % of total eligible project costs)</i>	\$ 2500	\$ 1500
<b>Maximum grant</b> <i>(up to 25 % of total eligible project costs)</i>	\$ 500,000	\$ 250,000
<b>Minimum guaranteed loan</b> <i>(up to 50 % of total eligible project costs)</i>	\$ 5000	\$ 5000
<b>Maximum guaranteed loan</b> <i>(up to 50 % of total eligible project costs)</i>	\$10 million	\$10 million
<b>Minimum combination grant &amp; loan</b> <i>(up to 50 % of total eligible project costs)</i>	\$ 5000	\$ 5000
<b>Minimum grant portion of the combined funding request</b>	\$ 1500	\$ 1500
<b>Maximum grant assistance in any one fiscal year per borrower</b>	\$ 750,000	
<b>Maximum total amount of loans guaranteed to any one borrower</b>	\$10 million	
<b>Guaranteed loan - <i>Equity</i> evidence by borrowers</b>	= or < \$600,000 – not less than 15% of eligible project costs > \$600,000 – not less than 25% of eligible project costs	
<b>Maximum percentage of guarantee</b>	=or< \$600,000	85%
	>\$600,000 & =or< \$5 million	80%
	>\$5 million & =or< \$10 million	70%

Application process	Total eligible project costs
<b>Simplified</b> grant application criteria and procedures	\$200,000 or less
Applicant <b>certification of financial need</b>	\$200,000 or less
<b>Guaranteed loans – Reduced application and documentation</b>	= or < \$600,000
<b>Guaranteed loans – Full application and documentation</b>	> \$600,000
Form required - <b>Exhibit A-1, 1940-Q</b>	Grants greater than \$100,000
<b>Guaranteed loan - Appraisal</b>	= or < \$600,000 complete self-contained appraisal > \$600,000 complete summary appraisal

<b>Technical requirements</b>	<b>Renewable Energy (RE) Total Eligible Project Costs</b>	<b>Energy Efficiency (EE) Total Eligible Project Costs</b>
Energy audit or energy assessment required?	No	Yes
Energy assessment or energy audit required – conducted by energy assessor or an energy auditor	NA	= or < \$50,000
Energy audit required – conducted by or reviewed by energy auditor	NA	> \$50,000
Technical report – Appendix A <i>Commercial technology</i>	= or < \$200,000	= or < \$200,000
Full technical report – Appendix B <i>Pre-Commercial or Commercial technology</i>	> \$200,000	> \$200,000
Licensed PE requirement – require a design review, installation monitoring, testing prior to commercial operation, and project completion certification by PE	> \$400,000	> \$200,000
Technical report reviewed with an opinion and recommendation by a qualified independent consultant	> \$1,200,000	> \$1,200,000
Renewable Energy - Business level feasibility study	> \$200,000	> \$200,000

<b>Scoring</b>	<b>Renewable Energy (RE)</b>	<b>Energy Efficiency (EE)</b>	<b>Points Awarded</b>
Opt to obtain an energy audit	NA	= or < \$50,000 total eligible project costs	5
Small agricultural producer	< \$600,000 gross income	< \$600,000 gross income	5
	< \$200,000 gross income	< \$200,000 gross income	10
Very Small Business	< \$1,000,000 gross annual receipts	< \$1,000,000 gross annual receipts	10
Simplified application	< \$200,000 total eligible project costs	< \$200,000 total eligible project costs	5

<b>Construction contracts</b>	<b>Contract value</b>
Equal Employment Opportunity	> \$10,000
Contracting requirements threshold	> \$100,000
Simple Contract Method	= or < \$200,000 (including equipment purchases)
Design/build Method	= or < \$200,000
	> \$200,000 with Agency prior concurrence
Contract method	> \$200,000

<b>Servicing</b>	
Annual Audited financial statement MAY be required	Guaranteed loans > \$3 million